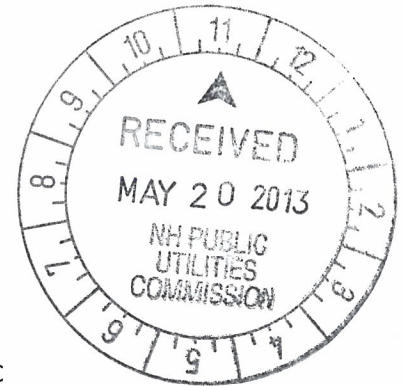




DM 13-154

May 15, 2013

Debra A. Howland  
Executive Director and Secretary  
New Hampshire Public Utility Commission  
21 South Fruit Street, Suite 10  
Concord, NH 03301



RE: Renewal Aggregator Registration DM 11-118 – Global Energy Market Services, LLC

Enclosed please find an original and 2 copies, along with a CD containing a searchable .pdf file of the renewal application of Global Energy Market Services, LLC.

Please direct any questions or requests for additional information to:

Elizabeth Ahrold, Senior Counsel  
10200 Forest Green Blvd., Suite 601  
Louisville, KY 40223  
(502) 214-9310  
eahrold@fellowmccord.com

BEFORE THE NEW HAMPSHIRE PUBLIC UTILITY COMMISSION

NOTICE OF INTENT TO CONTINUE PROVIDING ELECTRIC AGGREGATOR SERVICES

Registrant hereby notifies the Commission of its intent to continue to provide Electric Aggregator Services under license number DM11-118.

1. Registrant's legal name:

Global Energy Market Services, LLC

2. Registrant's contact information:

Legal Notice Address: 10200 Forest Green Blvd., Suite 601, Louisville, KY 40223

Business Address: 2790 Mossie Blvd., Suite 225, Monroeville, PA 15146

Telephone: (412) 372-2631

Toll-free: (855) 275-1595

Website: [www.gems-energy.com](http://www.gems-energy.com)

3. Registrant's principals:

Andrew R. Fellon, President/CEO  
10200 Forest Green Blvd., Suite 601  
Louisville, KY 40223  
(502) 214-9400  
[dfellon@fellonmccord.com](mailto:dfellon@fellonmccord.com)

Peter C. Brown, COO  
10200 Forest Green Blvd., Suite 601  
Louisville, KY 40223  
(502) 214-9400  
[pbrown@fellonmccord.com](mailto:pbrown@fellonmccord.com)

4. Customer service contact:

Doug Schaefer, Director, Operations  
2790 Mossie Blvd., Suite 225, Monroeville, PA 15146  
(412) 372-2631 or (855)-275-1595  
[dschaefer@gems-energy.com](mailto:dschaefer@gems-energy.com)

5. Registrant's authorization to do business in New Hampshire from the secretary of state plus yearly renewals are attached as Exhibit A.

6. Registrant will provide aggregation services throughout the State of New Hampshire.

7. Registrant is not representing any supplier interests

8. A renewal fee of \$125.00 is included with this Application.

9. The signature of the Registrant's representative:

Respectfully submitted:

A handwritten signature in black ink, appearing to read "Peter C. Brown". The signature is written in a cursive, flowing style. Below the signature is a solid horizontal line.

Peter C. Brown, COO



State of New Hampshire  
Department of State  
Corporation Division  
107 North Main Street  
Concord, N.H. 03301-4989  
603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at [corporate@sos.state.nh.us](mailto:corporate@sos.state.nh.us). Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State  
Corporation Division

Business ID#: 649841



# State of New Hampshire

Filed  
Date Filed: 05/18/2011  
Business ID: 649841  
William M. Gardner  
Secretary of State

Filing fee: \$ 50.00  
Fee for Form SRA: \$ 50.00  
Total fees: \$100.00

Form FLLC-1  
RSA 304-C:12

Use black print or type.

Form must be single-sided, on 8 1/2 x 11" paper;  
double sided copies will not be accepted.

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS OF THE NEW HAMPSHIRE LIMITED LIABILITY COMPANY LAWS, THE UNDERSIGNED HEREBY APPLIES FOR REGISTRATION TO TRANSACT BUSINESS IN NEW HAMPSHIRE AND FOR THAT PURPOSE SUBMITS THE FOLLOWING STATEMENT:

FIRST: The name of the limited liability company is Global Energy Market Services, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is Global Energy Market Services, LLC

THIRD: It is formed under the laws of State of Delaware

FOURTH: The date of its formation is 09/24/2010

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is broker of energy and energy related products and services, and all other lawful business or activity which is allowed.

SIXTH: The name of its registered agent in New Hampshire is C T Corporation System

and the **street address**, town/city (including zip code and post office box, if any) of its registered office is  
(agent's business address in New Hampshire) 9 Capitol Street, Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

State of New Hampshire  
Form FLLC 1 - Application for Foreign Registration FLLC 4 Page(s)



T1113925026

APPLICATION FOR REGISTRATION AS A  
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1  
(Cont.)

\*Signature: Peter C. Brown  
Print or type name: Peter C. Brown  
Title: COO  
Date signed: 05/11/11  
  
Complete address of person signing: 10200 Forest Green Blvd., Suite 601  
Louisville, KY 40223

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporate Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL, CERTIFICATE OF EXISTENCE OR DOCUMENT OF SIMILAR IMPORT ISSUED BY THE STATE OR COUNTRY OF FORMATION AND FORM SRA to: Corporate Division, Department of State, 107 North Main Street, Concord NH 03301-4989.



# Delaware

PAGE 1

*The First State*


I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "GLOBAL ENERGY MARKET SERVICES, LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE SEVENTEENTH DAY OF MARCH, A.D. 2011.

4874417 8300

110313136

You may verify this certificate online  
at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)



  
Jeffrey W. Bullock, Secretary of State  
AUTHENTICATION: 8632510

DATE: 03-17-11



**Form SRA – Addendum to Business Organization and Registration Forms**  
**Statement of Compliance with New Hampshire Securities Laws**

**Part I – Business Identification and Contact Information**

Business Name: Global Energy Market Services, LLC

Business Address (include city, state, zip): 10200 Forest Green Blvd., Suite 601, Louisville, KY 40223

Telephone Number: 502-214-9400 E-mail: cahrold@fellowmccord.com

Contact Person: Elizabeth Ahrold, Counsel

Contact Person Address (if different): same

**Part II – Check ONE of the following items in Part II.** If more than one item is checked, the form will be rejected.  
**[PLEASE NOTE:** Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below.  
**However, you must insure that your business meets all of the requirements spelled out in A), B), and C)]:**

1. ☐ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:  
A) This business has 10 or fewer owners; and  
B) Advertising relating to the sale of ownership interests has not been circulated; and  
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. ☐ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - \_\_\_\_\_.
3. ☐ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - \_\_\_\_\_.
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

**Part III – Check ONE of the following items in Part III:**

1. ☒ This business is not being formed in New Hampshire.
2. ☐ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

**Part IV – Certification of Accuracy**

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print): <u>Peter C. Brown</u>	Signature: <u>P.C. Brown</u>
	Date signed: <u>5/11/11</u>
Name (print): _____	Signature: _____
	Date signed: _____
Name (print): _____	Signature: _____
	Date signed: _____





State of New Hampshire  
New Hampshire Department of State  
William M. Gardner  
Secretary of State

Invoice Number: 5666485

Invoice Date: 02/24/2012 02:45 PM

User ID: 324

**Billing Information**

Global Energy Market Services, LLC  
10200 Forest Green Blvd. Suite 601  
Louisville, KY 40223

Product Description	Certification Number	Order Date	Qty	Pages	Item Cost	Extended	Amount Due
Corp Misc - Handling Charge Re: Global Energy Market Services, LLC Contact: Global Energy Market Services, LLC Shipped Via: Mail	3539775	02/24/2012	1	1	2.00	2.00	Paid
Annual Reports - Fee - Form LLC 8 - (LLC) Re: Global Energy Market Services, LLC Contact: Global Energy Market Services, LLC Shipped Via: Mail	3539776	02/24/2012	1	1	100.00	100.00	Paid

Credit Balance as of 02/24/2012 2:45 PM: \$0.00

**Payment Details:**

Payment for \$102.00 from Web with Credit Card MC Acct  
XXXXXXXXXXXX4370, Auth: 68486Z

Invoice Total: \$102.00

Payment Total: \$102.00

**Contact(s):**

10200 Forest Green Blvd. Suite 601  
Louisville, KY 40223  
United States  
eahrold@fellowmccord.com  
(502) 214-9400

Amount Due: \$0.00

Include invoice number on all correspondence and send to:

New Hampshire Department of State  
Attn: Accounts Receivable  
107 N. Main St.  
Concord, NH 03301

For questions regarding this invoice, contact Accounts Receivable at:

(603) 271-3242



State of New Hampshire  
New Hampshire Department of State  
William M. Gardner  
Secretary of State

Invoice Number: 5800586

Invoice Date: 02/26/2013 12:49 PM

User ID: 324

**Billing Information**

Global Energy Market Services, LLC  
10200 Forest Green Blvd. Suite 601  
Louisville, KY 40223

Product Description	Certification Number	Order Date	Qty	Pages	Item Cost	Extended	Amount Due
Corp Misc - Handling Charge Re: Global Energy Market Services, LLC Contact: Global Energy Market Services, LLC Shipped Via: Mail	3955795	02/26/2013	1	1	2.00	2.00	Paid
Annual Reports - Fee - Form LLC 8 - (LLC) Re: Global Energy Market Services, LLC Contact: Global Energy Market Services, LLC Shipped Via: Mail	3955796	02/26/2013	1	1	100.00	100.00	Paid

Credit Balance as of 02/26/2013 12:50 PM: \$0.00

**Payment Details:**

Payment for \$102.00 from Web with Credit Card MC Acct  
XXXXXXXXXXXX4370, Auth: 61201Z

Invoice Total: \$102.00

Payment Total: \$102.00

**Contact(s):**

10200 Forest Green Blvd. Suite 601  
Louisville, KY 40223  
United States  
eahrold@fellowmccord.com  
(502) 214-9400

Amount Due: \$0.00

Include invoice number on all correspondence and send to:

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Concord, NH 03301

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(603) 271-3242